

JP20061098A 27 JUL 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :
Mamoru SHOJI et al. : **Mail Stop: PCT**
Serial No. NEW : Attorney Docket No. 2006_1098A
Filed July 27, 2006 :
SERVO POSITION ADJUSTMENT :
METHOD AND SERVO POSITION :
ADJUSTMENT DEVICE :
[Corresponding to PCT/JP2004/018345
Filed December 9, 2004]

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

THE COMMISSIONER OF PATENTS
AND TRADEMARKS HAS RECEIVED
THIS INFORMATION DISCLOSURE STATEMENT
FOR THIS APPLICATION.
RECEIVED JUL 28 2006

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of the references listed on attached form PTO-1449 and any additional information identified below in paragraph 3. A legible copy of each reference listed on the Form PTO-1449 is enclosed, except a copy is not provided for:

- ☒ each U.S. Patent and U.S. Patent application publication;
- ☐ each reference previously cited in the international application PCT/_____; and/or
- ☐ each reference previously cited in prior parent application Serial No. _____.

1a. ☒ This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the above-entitled application, or

ATTACHMENT D

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

and thus no certification and/or fee is required.

1b. ☐ This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

(1) ☐ the certification of paragraph 2 below is provided, **or**

(2) ☐ the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

1c. ☐ This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

the certification of paragraph 2 below is provided, and

the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

2. It is hereby certified

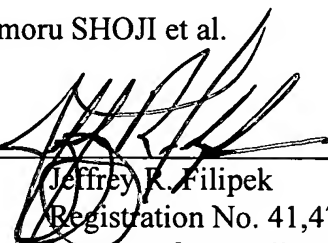
- a. ☐ that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or
- b. ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

3. ☐ Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.
4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:
- a. ☐ a full or partial English language translation submitted herewith,
 - b. ☒ an International Search Report (in the English language) submitted herewith,
 - c. ☐ the concise explanation contained in the specification of the present application at page,
 - d. ☒ the concise explanation set forth in the attached English language abstract,
 - e. ☒ the concise explanation set forth below or on a separate sheet attached to the reference:
- JP 2002-216369 corresponds to US 2005/0088930
JP 2003-141823 corresponds to US 2003/0067998
JP 2003-233917 corresponds to US 2003/0107961
JP 6-84173 corresponds to US 5,590,111 and US 5,732,061
5. ☒ an International Search Report citing one or more of the references is enclosed.

Respectfully submitted,

Mamoru SHOJI et al.

By



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July 27, 2006

INFORMATION DISCLOSURE STATEMENT

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)
(Use several sheets if necessary)

Date Submitted to PTO: July 27, 2006

ATTY DOCKET NO.
2006_1098ASERIAL NO.
NEWAPPLICANT
Mamoru SHIMIZU et al.FILING DATE
July 27, 2006

GROUP

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	2003/0067998	4-10-03	Nakajima et al.			
	AB	2003/0107961	6-12-03	Yasuda et al.			
	AC	5,590,111	12-31-96	Kirino et al.			
	AD	5,732,061	3-24-98	Kirino et al.			
	AE	2005/0088930	4-28-05	Minechika et al.			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	AF	4-141831	5-15-92	Japan			abstract
	AG	7-201059	8-4-95	Japan			abstract
	AH	8-7278	1-12-96	Japan			abstract
	AI	2003-141823	5-16-03	Japan			abstract and corresponds to AA
	AJ	2003-233917	8-22-03	Japan			abstract and corresponds to AB
	AK	2000-331364	11-30-00	Japan			abstract
	AL	6-84173	3-25-94	Japan			abstract and corresponds to AC and AD
	AM	2004-241080	8-26-04	Japan			abstract
	AN	2002-216369	8-2-02	Japan			abstract and corresponds to AE

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

	AO	
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EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include cop. this form with next communication to applicant.